

DECLARATION OF INVENTOR(S)
FOR UNITED STATES PATENT APPLICATION

ATTORNEY DOCKET NO.
V0690.0008

As a below-named inventor, I hereby declare that I verily believe that I am the original, first, and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DEVICE FOR CORRECTING SPINAL DEFORMITIES

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on August 25, 2003 as United States patent Application Number 10/648,158

I have read and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to the patentability of the invention in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or U.S. Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
United States	60/406,019	August 25, 2002	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO


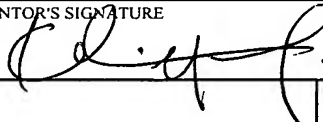

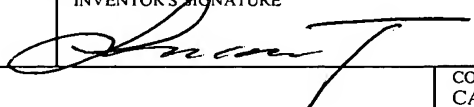
I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

SEND CORRESPONDENCE TO: DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP
1177 Avenue of the Americas, 41st Floor
New York, NY 10036-2714

DIRECT TELEPHONE CALLS TO:
(212) 835-1400

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Kenneth MC Cheung	INVENTOR'S SIGNATURE 	DATE 3/12/03
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FULL NAME OF FOURTH JOINT INVENTOR (IF ANY) WILLIAM W. LU	INVENTOR'S SIGNATURE 	DATE 4/12/03
RESIDENCE (City and either State or Foreign Country) Hong Kong, China		COUNTRY OF CITIZENSHIP CANADA
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☐ See attached page 2.



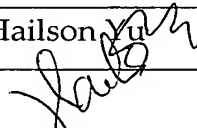
POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Appln. No./Patent No.	10/648,158
	Filing Date/Issue Date	August 25, 2003
	First Named Inventor	Cheung et al.
	Title	DEVICE FOR CORRECTING SPINAL DEFORMITIES
	Group Art Unit	3732
	Examiner's Name	To be assigned
	Attorney Docket No.	V0690.0008

The below-indicated assignee of record of the entire right, title and interest in the subject patent or application for patent, pursuant to 37 C.F.R. §3.71, hereby appoints Practitioners at Customer Number **32172** as attorneys or agents to transact all business in the United States Patent and Trademark Office connected with said patent or application to the exclusion of the inventor(s) or any assign(s), attorney(s) or agent(s) previously so entitled. A *Statement Under 37 C.F.R. §3.73(b)* is enclosed. (Form PTO/SB/96).

Please change the correspondence address for the above-identified application to:

Dickstein Shapiro Morin & Oshinsky LLP
1177 Avenue of the Americas
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SIGNATURE of Assignee of Record

Name of Assignee	The University of Hong Kong
Name of Authorized Representative	Hailson Yu
Signature of Authorized Representative	
Title of Authorized Representative	Deputy Managing Director
Date	29 December 2003



STATEMENT UNDER 37 C.F.R. §3.73(b)

Application No./Patent No.: 10/648,158 Filed/Issue Date: August 25, 2003

Entitled: DEVICE FOR CORRECTING SPINAL DEFORMITIES

The University of Hong Kong, a University
(Name of Assignee) Type of Assignee, e.g., corporation, partnership, university, government agency, etc.

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) named in the patent application/patent identified above.

- ☒ The assignment is being submitted under a separate letter for recordation.
☐ The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

29 December 2003
Date

Hailson Yu
Typed or printed name

[Signature]
Signature

Deputy Managing Director
Title